

Section

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 107.823.129)

In re Application of:

MADIYALAKAN et al.

Serial No. 09/376,604

Filed: August 18, 1999

For: THERAPEUTIC COMPOSITIONS THAT
ALTER THE IMMUNE SYSTEM



) Examiner: Not Yet Assigned

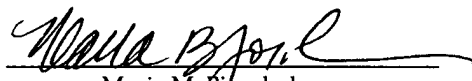
) Art Unit: 1642

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an enveloped addressed to: Attention: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.

March 2, 2000

Date


Maria M. Bjornholm

Attention: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing in the above-identified patent application are the following documents:

1. Response to Notice to File Missing Parts of Application, Filing Date Granted;
2. A copy of the Notice to File Missing Parts of Application, Filing Date Granted, mailed September 3, 1999;
3. Executed Verified Statement (Declaration) Claiming Small Entity Status-Small Business Concern;
4. Executed Declaration and Power of Attorney Documents (2);
5. Certificate of First Class Mailing; and
6. Return Postcard.

U.S.S.N. 09/376,604

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Please charge any additional fees or credit any overpayment to our Deposit Account No. 08-0219.

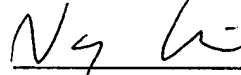
If there are any questions, please call the undersigned at the telephone number indicated below.

Dated: March 2, 2000

Hale and Dorr LLP
60 State Street
Boston, MA 02109
Tel: (617) 526-6000
Fax: (617) 526-5000

Respectfully submitted,

HALE AND DORR LLP



Nancy Chiu, Ph.D.

Reg. No. 43,545

Agent for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 107.823.129)

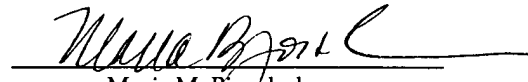
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March 2, 2000
Date


Maria M. Bjornholm

Attention: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Dear Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed September 3, 1999, Applicants submit the following documents for filing in the above-referenced patent application:

1. A copy of the Notice to File Missing Parts of Application, Filing Date Granted, mailed September 3, 1999;
2. Executed Verified Statement (Declaration) Claiming Small Entity Status - Small Business Concern;
3. Executed Declaration and Power of Attorney Document; and
4. Copy of Executed Declaration and Power of Attorney Document, signed by Richard Baum.

U.S.S.N. 09/376,604

Page Two

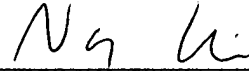
The filing fee of \$345.00 set out in 37 C.F.R. §1.16(a) and the surcharge fee of \$65.00 set out in 37 C.F.R. §1.16(e), totaling \$410.00, are to be charged to our Deposit Account No. 08-0219.

Applicants also request a four-month extension of time pursuant to 37 C.F.R. 1.136(a), up to and including March 3, 2000 in response to the Office Action mailed September 3, 1999. Please charge our Deposit Account No. 08-0219 the required fee of \$680.00 pursuant to 37 C.F.R. 1.17(a)(4).

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

HALE AND DORR LLP



Nancy Chiu, Ph.D.

Reg. No. 43,545

Agent for Applicants

Dated: March 2, 2000

Hale and Dorr LLP
60 State Street
Boston, MA 02109
Tel: (617) 526-6000
Fax: (617) 526-5000



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/376,604 | 08/18/99 | MADIYALAKAN | R A52023.2US |

WILLIAM J BUNDREN
THE LAW OFFICE OF WILLIAM J BUNDREN
576 FARMINGTON ROAD WEST
ACCOKEEK MD 20607-9796

NOT ASSIGNED

1642

DATE MAILED:

09/03/99



NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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03/10/2000
FC:205
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